



PCT

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79327

Chiaki SOTOWA, et al.

Appln. No.: 10/585,205

Group Art Unit: Not Yet Assigned

Confirmation No.: 9588

Examiner: Not Yet Assigned

Filed: July 3, 2006

For: **NEGATIVE ELECTRODE MATERIAL FOR LITHIUM BATTERY, AND LITHIUM BATTERY**

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

MAIL STOP PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

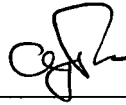
In response to the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)," for the above application, Applicant respectfully submits herewith a copy of the Declaration (four (4) pages) as submitted on July 3, 2006 together with a copy of a Substitute Declaration executed by the fourth (4th) inventor. The substitute Declaration gives the citizenship of the 4th inventor. The name of the 4th inventor (Masahiro Yamakawa) was erroneously printed on the front page of the PCT publication (WO 2005/067081) as duly noted in the Notification of Missing Requirements. A corrected version of PCT/IB/306 (Notification of the Recording of a Change) has subsequently issued giving the correct spelling of the 4th inventor's name. A copy of the corrected version of PCT/IB/306 for PCT/JP2004/019835 is enclosed herewith.

RESPONSE TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 U.S.C. § 371
U.S. Application No.: 10/585,205

Attorney Docket No.: Q79327

A check for the statutory fee of \$130.00 is attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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Registration No. 33,276

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 23, 2007

05/24/2007 JBALINAN 00000156 10585205

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/585,205	Chiaki Sotowa	Q79327

23373
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DOCKETED

APR 04 2007

INTERNATIONAL APPLICATION NO.

PCT/JP04/19835

I.A. FILING DATE

12/28/2004

PRIORITY DATE

01/05/2004

CONFIRMATION NO. 9588

371 FORMALITIES LETTER



OC000000023211725

Date Mailed: 04/02/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/03/2006
- Copy of the International Search Report filed on 07/03/2006
- Preliminary Amendments filed on 07/03/2006
- Oath or Declaration filed on 07/03/2006
- Request for Immediate Examination filed on 07/03/2006
- U.S. Basic National Fees filed on 07/03/2006
- Priority Documents filed on 07/03/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - The 4th inventor citizenship is missing. Also, the spelling of the 4th inventor's name is different on the declaration than on the international application. Please clarify.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/585,205	PCT/JP04/19835	Q79327